

FORM NL-28-STATEMENT OF INVESTMENT ASSETS AND STATEMENT OF ACCRETION OF ASSETS

PART - A

SWISS REINSURANCE COMPANY LIMITED - INDIA BRANCH

IRDAI REGISTRATION NO. FRB/002 DATED 21 DECEMBER, 2016

Statement as on: September 30, 2021

**Statement of Investment Assets (General Insurer including an insurer carrying on business of re-insurance or health insurance)
(Business within India)**

(Rs. in Lakhs)

Periodicity of Submission of NL 28: Half-yearly

Section I			
No	PARTICULARS	SCH ++	AMOUNT
1	Investments (Shareholders)	8	161,476
	Investments (Policyholders)	8A	254,695
2	Loans	9	-
3	Fixed Assets	10	187
4	Current Assets		
	a. Cash & Bank Balance	11	25,929
	b. Advances & Other Assets	12	423,249
5	Current Liabilities		
	a. Current Liabilities	13	561,966
	b. Provisions	14	97,756
	c. Misc. Exp not Written Off	15	-
	d. Debit Balance of P&L A/c		(45,978)
	Application of Funds as per Balance Sheet (A)		251,792
	Less: Other Assets	SCH ++	Amount
1	Loans (if any)	9	-
2	Fixed Assets (if any)	10	187
3	Cash & Bank Balance (if any)	11	25,929
4	Advances & Other Assets (if any)	12	423,249
5	Current Liabilities	13	561,966
6	Provisions	14	97,756
7	Misc. Exp not Written Off	15	-
8	Investments held outside India		-
9	Debit Balance of P&L A/c		(45,978)
	Total (B)		(164,379)
	'Investment Assets'	(A-B)	416,171

Section II										
No	'Investment' represented as	Reg. %	SH		PH	Book Value (SH + PH)	% Actual	FVC Amount	Total	Market Value (h)
			Balance	FRSM+						
			(a)	(b)						
1	Central Govt. Securities	Not less than 20%		125,600	198,109	323,710	77.78%	-	323,710	325,693
2	Central Govt Sec, State Govt Sec or Other Approved Securities (incl (1) above)	Not less than 30%		125,600	198,109	323,710	77.78%	-	323,710	325,693
3	Investment subject to Exposure Norms									
	a. Housing / Infra & Loans to SG for Housing and FFF	Not less than 15%		35,875	56,586	92,461	22.22%	-	92,461	93,289
	1. Approved Investments			35,875	56,586	92,461	22.22%	-	92,461	93,289
	2. Other Investments									
	b. Approved Investments	Not exceeding 55%				-		-		
	c. Other Investments						-			
	Investment Assets	100%				416,171	100%	-	416,171	418,982

- Note:
- (+) FRSM refers 'Funds representing Solvency Margin'
 - Other Investments' are as permitted under 27A(2)
 - Pattern of Investment is applicable to both Shareholders funds representing solvency margin and policyholders funds.
 - Exposure Norms shall apply to Funds held beyond Solvency Margin, held in a separate Custody Account
 - SCH (++) refers to Schedules to Balance Sheet, prepared as per IRDAI (Preparation of Fin. Stmt and Auditors' Report of Ins Companies) Regulations
 - Investment Regulations, as amended from time to time, to be referred

PART - B**SWISS REINSURANCE COMPANY LIMITED - INDIA BRANCH
IRDA REGISTRATION NO. FRB/002 DATED 21 DECEMBER, 2016****Statement as on: September 30, 2021**

Statement of Accretion of Assets

(Rs. Lakhs)

*(Business within India)***Periodicity of Submission of NL 28: Half-yearly**

No	Category of Investments	COI	Opening Balance	% to Opening Balance	Net Accretion for the Qtr.	% to Total Accrual	TOTAL	% to Total
			(A)		(B)		(A+B)	
1	Central Govt. Securities	CGSB	283,814	78%	39,896	76%	323,710	78%
2	Central Govt Sec, State Govt Sec or Other Approved Securities (incl (i) above)	CGSB	283,814	78%	39,896	76%	323,710	78%
3	Investment subject to Exposure Norms		-	0%	-	0%	-	0%
	a. Housing & Loans to SG for Housing and FFE		-	0%	-	0%	-	0%
	1. Approved Investments	HTDN	35,084	10%	962	2%	36,046	9%
	2. Other Investments		-	0%	-	0%	-	0%
	b. Infrastructure Investments		-	0%	-	0%	-	0%
	1. Approved Investments	IPTD	44,711	12%	11,704	22%	56,415	14%
	2. Other Investments		-	0%	-	0%	-	0%
	c. Approved Investments		-	0%	-	0%	-	0%
	d. Other Investments (not exceeding 15%)		-	0%	-	0%	-	0%
	Total		363,609	100%	52,562	100%	416,171	100%

Note:

1. Total (A+B), fund wise should tally with figures shown in Form 3B (Part A)
2. Investment Regulations, as amended from time to time, to be referred