

## Application for opening a safe custody account

Swiss Re Ltd, Share Register,  
P. O. Box, 8022 Zurich, Switzerland

I/we request you to open a safe custody account at Swiss Re Ltd Share Register, in which Swiss Re Ltd shares registered exclusively in my/our name are held in custody in accordance with the stipulations laid down in the "Regulations on the safe custody of Swiss Re Ltd registered shares by the Share Register" (the "Regulations"). I/we hereby confirm that I/we have read and understood the contents of the Regulations. In addition, I/we hereby instruct and empower Swiss Re Ltd to have shares acquired by me/us now and in the future entered into the Swiss Re Ltd Share Register.

**In the event of a change of domicile from Switzerland to another country I/we commit myself/ourselves to give an order to Swiss Re Ltd to transfer my shares to my/our bank.**

### Individuals (the Applicant)

Mr  Ms  Mrs

Full name	Nationality	Date of birth
Residential address (street, number)	Postal code, city	

### Politically exposed person

Is the applicant, or is any immediate family member or close associate of the applicant, entrusted with a prominent public function or a senior position in a state-owned company or in an international organisation? For definitions of these terms, please refer to the supplementary sheet "Politically exposed persons" enclosed.

I herewith confirm that neither I nor any immediate family members or close associates of mine are entrusted with a prominent public function.

An immediate family member or close associate of mine is, or I myself am, entrusted with a prominent public function.

### Legal entities (the Applicant)

Company

Street, number

Postal code City

Type of legal entity (corporation, partnership etc)

Branch  Bank  Pension fund  Services  Other  
 Insurance  Trade and industry  Community

### Communications from Swiss Re Ltd should be sent in

English  German  French

to the above address  to the following address

### Dividends should be paid as follows

Name of bank/Postfinance

Postal code City

Account in Switzerland IBAN \*

in the name of

\*IBAN: International Bank Account Number

### Declaration

I/we declare that I/we purchase these shares for my/our own account and beneficial ownership and that I/we do not hold them in a fiduciary capacity or for a third party in any other manner. Swiss Re Ltd is authorised to make this application available to banks and/or to provide them with the details given here and any changes to them. I/we undertake to inform Swiss Re Ltd of any changes to the details given here. Intentionally false information is a criminal offence according to art. 251 of the Swiss Penal Code (Forgery of documents).

### Identification

For individuals, this form must be handed in together with proof of identity in the form of a copy of their passport or identity card.

Legal entities have to submit an extract from the commercial register or equivalent document confirming registration of the company. The commercial register extract must have been issued within the past twelve months.

City	Date	Signature
_____		
of the applicant (or of a legal representative)		

## Power of attorney

**The undersigned safe custody account owner**

Full name	Address

**herewith executes power of attorney to**

Full name	Address	Signature

by single signature       by collective signature

to represent him/her legally vis-à-vis the Swiss Re Ltd in connection with the safe custody of Swiss Re Ltd registered shares and in keeping with the stipulations of the "Regulations on the safe custody of Swiss Re Ltd registered shares by the Share Register" (the "Regulations"). The undersigned safe custody account owner hereby confirms having received a copy of the regulations.

Authorised agents can carry out all transactions to which this power of attorney entitles them on their own behalf or on behalf of third parties. In particular, they are empowered to administer registered shares in the safe custody of Swiss Re Ltd and to determine in what way the rights attached to these shares are to be exercised. Signatures and all other declarations and measures initiated by the authorised agent are binding for the principal. He/she confirms the authenticity of the authorised agents' signatures.

It is expressly stipulated that this power of attorney does not expire on the loss of the power to act or the death of the principal, but remains valid (Article 35 of the Swiss Code of Obligations).

**The safe custody account owner and principal**

City, date	Signature

## Politically exposed persons

With effect from 1 January 2016, we are required to keep a record of politically exposed persons in accordance with the amended Anti-Money Laundering Act.

<sup>1</sup> Politically exposed persons are defined as follows:

1. Any individuals who are or were entrusted with prominent public functions in the executive, legislative, military or judicial branches of the Swiss federal government; and any members of the board of directors of Swiss state-owned enterprises of national importance, or senior executives thereof (domestic politically exposed persons). Specifically, any members of the Federal, National or States' Councils; heads or directors-general of federal government departments; public prosecutors of the federal government; federal judges, high-ranking officers in the armed forces, or presidents or secretaries-general of national political parties. The definition also applies to any members of the board of directors of state-owned enterprises of national importance, or senior executives thereof, including, but not limited to Swiss Post, Swisscom, SBB, SUVA, Arma-Suisse or RUAG.
2. Any individuals who are or were senior officials in international organisations or international sports associations or federations; in particular, secretaries-general, directors, deputy directors, members of the governing bodies or persons in similar functions (politically exposed persons in international organisations). International organisations are defined as subjects of international law established by treaty between multiple states. These include, in particular, the UN and its agencies (UNICEF, UNESCO etc), the WTO, NATO, the OECD and the IMF. International sports associations or federations include the International Olympic Committee (IOC) and any non-governmental organisation recognised by the IOC governing one or more official sports worldwide, such as FIFA or the UCI. The amended Act does not apply to other international organisations, in particular, NGOs.

<sup>2</sup> Immediate family members or close associates of politically exposed persons are defined as individuals who for family, personal or business reasons are publicly known to maintain a close relationship with persons defined under (1) above.

<sup>3</sup> Beneficial owners of an operationally active legal entity are defined as natural persons who ultimately control the legal entity, by holding at least 25% of the equity or the votes in the entity or by some other means, directly or indirectly, alone or jointly with third parties. Where the beneficial owners cannot be determined, the most senior member of the governing body should be identified.

<sup>4</sup> Under the Act, domestic politically exposed persons cease to be recognised as politically exposed persons 18 months after stepping down from their function.

## Declaration of status as US-person or not US-person

Swiss Re Ltd, Share Register,  
P. O. Box, 8022 Zurich, Switzerland

The declaration below must be completed and duly signed by any safe custody holder before the opening of such an account with Swiss Re Ltd's Share Register (the "Share Register").

**Individuals**
 Mr     Ms     Mrs

Full name	Nationality	Date of birth
Residential address (street, number)	Postal code, city	

According to US tax regulations (relating to US withholding tax), and in order to determine your status and qualification as a US person or non-US person, please confirm the following as undersigned safe custody account holder vis-à-vis Swiss Re Ltd:

### Determination of your status as a US-person or Non-US person

With regard to your custody account with the Share Register, you qualify as a US person if you answer "yes" to any of the following conditions:

	Yes	No
a) Are you a US citizen?	<input type="checkbox"/>	<input type="checkbox"/>
b) Have you been a lawful permanent resident of the United States at any time during this calendar year? You are a lawful permanent resident of the United States if you are in possession of a United States "green card".	<input type="checkbox"/>	<input type="checkbox"/>
c) Have you had a "substantial presence" in the United States during the current calendar year and the previous two calendar years?	<input type="checkbox"/>	<input type="checkbox"/>

You are considered to have a substantial presence in the United States if you were present in the United States on at least 31 days during the current calendar year, **and** the sum of the following equals or exceeds 183 days:

- (i) Number of days in the United States in the current calendar year;
- (ii) One-third of the number of days in the United States during the first preceding calendar year; and
- (iii) One-sixth of the number of days in the United States during the second preceding calendar year.

d) Please provide your United States Social Security Number, if any: \_\_\_\_\_

This form is only valid if you provide an answer to **all** the above questions. If you do not provide all answers, an account cannot be opened with the Share Register.

**Beneficial owner**

The owner of the safe custody account declares him- or herself to be the beneficial owner under US tax law of the shares to which this declaration refers.

**Change in the status as Non-US person**

The owner of the safe custody account hereby undertakes to inform Swiss Re Ltd, within 30 days, of any change in the terms of this declaration.

City	Date	Signature
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**This form is signed and dated under penalties of perjury.**